

Standard form for the notification of Home Member State

HOME MEMBER STATE DISCLOSURE FORM

1.* Issuer Name: Akciju sabiedrība "Attīstības finanšu institūcija Altum"

1.bis. Formerly known as:

2.* Registered office: Doma square 4, Riga, LV 1050

3. LEIⁱⁱ: 50103744891

*3.bis. National company
register numberⁱⁱⁱ:*

4.* Home Member State^{iv}: Latvia

5.* Triggering event^v:

| | | |
|---|---|----------------------|
| | Issuer of shares admitted to trading | article 2(1)(i)(i) |
| | Issuer of debt securities denominated less than EUR 1,000 admitted to trading | article 2(1)(i)(i) |
| X | Issuer of other securities ^{vi} | article 2(1)(i)(ii) |
| | Change of home Member State | article 2(1)(i)(iii) |

6.* Member State(s) where the issuer's securities are admitted to trading^{vii}:

| | Shares | Debt securities < 1000€ | Other securities |
|----------------|--------|-------------------------|------------------|
| Austria | | | |
| Belgium | | | |
| Bulgaria | | | |
| Croatia | | | |
| Cyprus | | | |
| Czech Republic | | | |
| Denmark | | | |
| Estonia | | | |
| Finland | | | |
| France | | | |
| Germany | | | |

| | | | |
|----------------|--|--|---|
| Greece | | | |
| Hungary | | | |
| Iceland | | | |
| Ireland | | | |
| Italy | | | |
| Latvia | | | X |
| Liechtenstein | | | |
| Lithuania | | | |
| Luxembourg | | | |
| Malta | | | |
| Netherlands | | | |
| Norway | | | |
| Poland | | | |
| Portugal | | | |
| Romania | | | |
| Slovakia | | | |
| Slovenia | | | |
| Spain | | | |
| Sweden | | | |
| United Kingdom | | | |

6. bis. Former home Member State (if applicable)^{viii}:

7. NCAs the form is required to be filed with^{ix}:

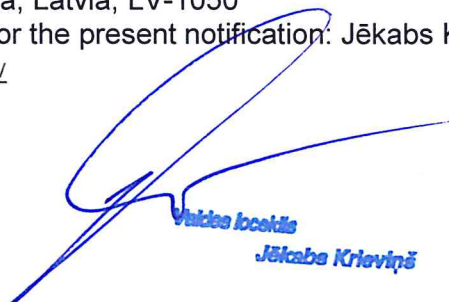
Financial and Capital Market Commission

8*. Date of notification:
22.07.2019

9. Start date of 3 year period^x:

10. Additional information^{xi}: Issuer of debt securities denominated ≥ EUR 1,000 admitted to trading

11.* Contact details: AS "Attīstības finanšu institūcija "Altum"
Issuer's address: Doma square 4, Riga, Latvia, LV-1050
Person responsible within the issuer for the present notification: Jēkabs Krieviņš
E-Mail address: elina.salava@altum.lv
Telephone: +371 29273794



Jēkabs Krieviņš

(* Mandatory information)

Information on filing procedures:

| | |
|----------------|---|
| Austria | e-mail to: marktaufsicht@fma.gv.at |
| Belgium | e-mail to: trp.fin@fsma.be |
| Bulgaria | |
| Croatia | via national OAM: SRPI; or postal address: Miramarska 24b, 10000 Zagreb, Croatia |
| Cyprus | e-mail to: info@cysec.gov.cy (to the attention of Issuers Department) |
| Czech Republic | via national OAM: http://www.cnb.cz/en/supervision_financial_market/information_published_issuers/index.html |
| Denmark | website: http://oasm.dfsa.dk/ |
| Estonia | e-mail to: info@fi.ee |
| Finland | e-mail to: kirjaamo@finanssivalvonta.fi |
| France | via: https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspx |
| Germany | e-mail to: p26@bafin.de |
| Greece | e-mail to: transparency@cmc.gov.gr |
| Hungary | e-mail to: surveillance@mnbb.hu |
| Iceland | via national OAM: www.oam.is |
| Ireland | e-mail to: regulateddisclosures@centralbank.ie |
| Italy | e-mail to: HMSdisclosureform@consob.it |
| Latvia | e-mail to: fttk@fttk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050 |
| Liechtenstein | |
| Lithuania | e-mail to: transparency@lb.lt |
| Luxembourg | e-mail to: transparency@cssf.lu |
| Malta | |
| Netherlands | e-mail to: HMS.Registration@afm.nl |
| Norway | |
| Poland | e-mail to: dno@knf.gov.pl or via ESPI system |
| Portugal | e-mail to: transparency@cmvm.pt |
| Romania | e-mail to transparency@asfromania.ro |
| Slovakia | via national OAM: https://ceri.nbs.sk/ |
| Slovenia | e-mail to: info@atvp.si |
| Spain | online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en |
| Sweden | e-mail to: borsbolag@fi.se |
| United Kingdom | e-mail to: tdhomestate@fca.org.uk |

Notes:

ⁱ In case of a change of the company name compared to the previous disclosure, please provide the issuer's former company name. In case of a first time disclosure, no information on an earlier name change is required.

ⁱⁱ Legal entity identifier.

ⁱⁱⁱ In case the LEI is unavailable, please provide for identification purposes the number under which the issuer is registered with the business register of its country of incorporation.

^{iv} The home Member State pursuant to article 2(1)(i) of Directive 2004/109/EC.

^v *The criteria upon which the home Member State has been determined.*

^{vi} *For example debt securities denominated at least EUR 1,000, units of collective investment undertaking of the closed end- type.*

^{vii} *Only securities admitted to trading on regulated markets shall be taken into account.*

^{viii} *Information required in case the issuer chooses a new home Member State pursuant to article 2(1)(i)(iii).*

^{ix} *According to article 2(1)(i), second subparagraph of Directive 2004/109/EC.*

^x *In case of a choice of home Member State pursuant to article 2(1)(i)(ii) of Directive 2004/109/EC.*

^{xi} *Please provide any relevant additional information.*